SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Estimated average burden hours per response: 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Date of Requiring (Month/Da 04/01/20	Statement y/Year)	3. Issuer Name and Ticker or Trading Symbol PACIFIC BIOSCIENCES OF CALIFORNIA, INC. [ PACB ]					
(Last) (First) (Middle) PACIFIC BIOSCIENCES OF CALIFORNIA, INC.			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner			5. If Amendment, Date of Original Filed (Month/Day/Year)		
1305 O'BRIEN DRIVE	_		title below) below)		(C	(Check Applicable	Individual or Joint/Group Filing Check Applicable Line) The Form filed by One Reporting	
(Street) MENLO PARK CA 94025			VP, Sales, Service	& Suppo	ort	A Person	by More than One	
(City) (State) (Zip)								
	Table I - No	n-Derivati	ive Securities Benefic	ially Ov	vned			
1. Title of Security (Instr. 4)		I	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Owne Form: D (D) or Ir (I) (Instr	Direct C ndirect	. Nature of Indire wnership (Instr. !	ature of Indirect Beneficial ership (Instr. 5)	
Common Stock			66,329 <sup>(1)</sup>					
(e			e Securities Beneficia nts, options, converti					
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversi or Exerci	se Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivativ Security	Direct (D) e or Indirect (I) (Instr. 5)	5)	
Stock Option (right to buy)	(2)	07/19/2020	Common Stock	2,000	12.74	D		
Stock Option (right to buy)	(2)	05/16/2021	Common Stock	5,000	11.7	D		
Stock Option (right to buy)	(2)	06/15/2021	Common Stock	5,000	11.64	D		
Stock Option (right to buy)	(2)	11/15/2021	Common Stock	20,000	3.3	D		
Stock Option (right to buy)	(2)	11/15/2023	Common Stock	20,000	4.07	D		
Stock Option (right to buy)	(2)	08/15/2024	Common Stock	14,500	5.18	D		
Stock Option (right to buy)	(2)	02/17/2025	Common Stock	1,000	6.91	D		
Stock Option (right to buy)		12/15/2025	Common Stock	30,000	10.07	D		
Stock Option (right to buy)	(2)	12/13/2023	Common Stock					
Stock Option (right to buy)	(2)	02/16/2026		18,000	8.9	D		
				-	8.9 5.27	_		

**Explanation of Responses:** 

1. Includes 50,000 shares represented by restricted stock units ("RSUs"). Each RSU represents the Reporting Person's right to receive one share of Common Stock of the Issuer subject to the applicable vesting schedule, provided that the Reporting Person continues to be a Service Provider through each vesting date.

2. The shares subject to the option are fully vested and exercisable.

3. One forty-eighth (1/48) of the shares subject to the option vests monthly over a four year period, provided that the Reporting Person is a service provider through each vesting date.

**Remarks:** 

/s/ Christopher J. Seipert 04 \*\* Signature of Reporting Da

04/02/2020

Date

## Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.