SEC Form 5

I	FORM	5 L	JNITED STA	TES SE					ANG	E CON	IMIS	SION	۱				
Instruction 1(b).				Washington, D.C. 20549 L STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP								L	Esti	OMB APPROVAL OMB Number: 3235-0362 Estimated average burden hours per response: 1.0			
2	Holdings Repo Transactions R		File					e Securities Exch tment Company A							<u>.</u>]
1. Name and Phillips	2. Issuer Name and Ticker or Trading Symbol <u>PACIFIC BIOSCIENCES OF</u> <u>CALIFORNIA, INC.</u> [PACB]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner V Officer (give title Other (specify					5 7			
(Last) PACIFIC 1305 O'B		3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2018								X Oncer (give the below) below) SVP, Research & Development							
(Street) MENLO	4. If Amen	4. If Amendment, Date of Original Filed (Month/Day/Year)						Line)	Individual or Joint/Group Filing (Check Applicable ne) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City)	(Sta	ate) (A	Zip)									Pers	011				
		Tabl	e I - Non-Deriv	ative Sec	uritie	es Acqu	uire	ed, Disposed	of, or	Benefic	cially	Owne	ed				
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transactio Code (Insti		4. Securities Acq (D) (Instr. 3, 4 and	or Dispose	Disposed Of Securities Beneficially Owned at e			6. Owner Form:	ship 📗	7. Nature of Indirect Beneficial		
				(Month/Day/1	rear)	8)		Amount	(A) or (D)	Price		Issuer's Fiscal Year (Instr. 3 and 4)		(D) or Indirect (I) (Instr. 4)		Ownership (Instr. 4)	
Common Stock			03/01/2018			Р		5 , 989 ⁽¹⁾	A	\$2.00)6	215,155		D			
Common S	Stock		09/01/2018			Р		1(1)	A	\$2.00)6	215,156		D			
		Та	ble II - Derivat (e.g., p					, Disposed o ions, convert				wned					
1. Title of Derivative Security (Instr. 2)	Conversion or Exercise Date (Month/Day/Year) Execution Date, if any Transaction Code (Instr.			of Deriv	. Number f Expiration Date Amount of rerivative (Month/Day/Year) Securities					De	Price of rivative curity	9. Number derivative Securities	e C 5 F	Ownership of la Form: Ber		lature direct eficial	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Iransaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Shares were acquired by the Reporting Person pursuant to the Issuer's Employee Stock Purchase Plan.

Remarks:

James Michael Phillips

01/18/2019 Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.