SEC Form 4
------------

# FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

IF

L		3235-0207
	Estimated average bur	den
	hours per response:	0.5

Instruction 1(b).	Solution. See	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		L nours per re	esponse: 0.5		
Kim Susan G			2. Issuer Name and Ticker or Trading Symbol <u>PACIFIC BIOSCIENCES OF</u> <u>CALIFORNIA, INC.</u> [ PACB ]     3. Date of Earliest Transaction (Month/Day/Year)     03/02/2023	5. Relationship of Reporting Person(s) to Issue (Check all applicable) Director 10% Owner X Officer (give title Other (spe below) See Remarks				
1305 O'BRIEN I	ORIVE		4. If Amendment, Date of Original Filed (Month/Day/Year)	6 Indivi	idual or Joint/Group Fili	ng (Check Applicable		
(Street) MENLO PARK (City)	CA (State)	94025 (Zip)		Line)	Form filed by One Rep Form filed by More the Person	porting Person		

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction 2A. Deemed Date Execution Date, (Month/Day/Year) if any (Month/Day/Year)		Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/02/2023		A		164,200(1)	A	<b>\$</b> 0	328,550 <sup>(2)</sup>	D	
Common Stock	03/03/2023		S		5,045 <sup>(3)</sup>	D	<b>\$9.493</b> <sup>(4)</sup>	323,505	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

### Explanation of Responses:

1. Each share is represented by a Restricted Stock Unit ("RSU"). The RSUs will vest in equal annual installments on February 15th of each of 2024, 2025, 2026, and 2027, provided that the Reporting Person continues to serve through each applicable vesting date.

2. Includes 1,769 shares purchased on March 1, 2023 under the Company's 2010 Employee Stock Purchase Plan.

3. Represents the number of shares automatically sold to cover tax withholding obligations in connection with the vesting of restricted stock units.

4. This sale price represents the weighted average sale price of the shares sold ranging from \$9.24 to \$9.71 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

### Remarks:

Chief Financial Officer

/s/ Michele Farmer, Attorney-03/06/2023

in-fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.